

03-16-01

PTO/SB/05 (11-00)

j1036 U.S. PTO  
09/808790

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Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numberUTILITY  
PATENT APPLICATION  
TRANSMITTAL

|                        |  |
|------------------------|--|
| Attorney Docket No.    | 20468-000110   |
| First Inventor         | Krishna Vepa et al.                                    |
| Title                  | CLUSTER TOOL SYSTEMS AND METHODS FOR PROCESSING WAFERS |
| Express Mail Label No. | EK852212501US  |

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper (number of pages)
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Verified statement claiming small entity (1 page)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

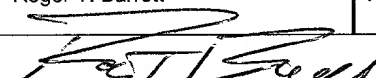
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_ / \_\_\_\_  
Prior application information: Examiner \_\_\_\_ Group / Art Unit: \_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 20350 or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

|         |                                    |           |                |          |                |
|---------|------------------------------------|-----------|----------------|----------|----------------|
| Name    | Townsend and Townsend and Crew LLP |           |                |          |                |
|         | Roger T. Barrett                   |           |                |          |                |
| Address | 1200 17th Street, Suite 2700       |           |                |          |                |
| City    | Denver                             | State     | CO             | Zip Code | 80202-5827     |
| Country | USA                                | Telephone | (303) 571-4000 | Fax      | (303) 571-4321 |

|                   |   |                                   |                |
|-------------------|---|-----------------------------------|----------------|
| Name (Print/Type) | Roger T. Barrett  | Registration No. (Attorney/Agent) | 41,599         |
| Signature         |  | Date                              | March 15, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231  
DE 7035757 v1

03/15/01

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   |                    |
| Filing Date          | Filed Herewith     |
| First Named Inventor | Krishna Vepa et al |
| Examiner Name        |                    |
| Group Art Unit       |                    |
| Attorney Docket No.  | 20468-000110       |

TOTAL AMOUNT OF PAYMENT (\$ ) 480.00

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 20-1430

Deposit Account Name: Townsend and Townsend and Crew LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed.

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION (continued)

| 3. ADDITIONAL FEES                |              |          |              |  |             |
|-----------------------------------|--------------|----------|--------------|--|-------------|
|                                   | Large Entity |          | Small Entity |  |             |
| Fee Code                          | Fee (\$)     | Fee Code | Fee (\$)     | Fee Description  | Fee Paid    |
| 105                               | 130          | 205      | 65           | Surcharge - late filing fee or oath  |             |
| 127                               | 50           | 227      | 25           | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139                               | 130          | 139      | 130          | Non-English specification  |             |
| 147                               | 2,520        | 147      | 2,520        | For filing a request for reexamination                                     |             |
| 112                               | 920*         | 112      | 920*         | Requesting publication of SIR prior to Examiner action                     |             |
| 113                               | 1,840*       | 113      | 1,840*       | Requesting publication of SIR after Examiner action                        |             |
| 115                               | 110          | 215      | 55           | Extension for reply within first month                                     |             |
| 116                               | 390          | 216      | 195          | Extension for reply within second month                                    |             |
| 117                               | 890          | 217      | 445          | Extension for reply within third month                                     |             |
| 118                               | 1,390        | 218      | 695          | Extension for reply within fourth month                                    |             |
| 128                               | 1,890        | 228      | 945          | Extension for reply within fifth month                                     |             |
| 119                               | 310          | 219      | 155          | Notice of Appeal   |             |
| 120                               | 310          | 220      | 155          | Filing a brief in support of an appeal                                     |             |
| 121                               | 270          | 221      | 135          | Request for oral hearing   |             |
| 138                               | 1,510        | 138      | 1,510        | Petition to institute a public use proceeding                              |             |
| 140                               | 110          | 240      | 55           | Petition to revive – unavoidable   |             |
| 141                               | 1,240        | 241      | 620          | Petition to revive – unintentional   |             |
| 142                               | 1,240        | 242      | 620          | Utility issue fee (or reissue)   |             |
| 143                               | 440          | 243      | 220          | Design issue fee   |             |
| 144                               | 600          | 244      | 300          | Plant issue fee  |             |
| 122                               | 130          | 122      | 130          | Petitions to the Commissioner  |             |
| 123                               | 50           | 123      | 50           | Petitions related to provisional applications                              |             |
| 126                               | 180          | 126      | 180          | Submission of Information Disclosure Stmt                                  |             |
| 581                               | 40           | 581      | 40           | Recording each patent assignment per property (times number of properties) | 40.00       |
| 146                               | 710          | 246      | 355          | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149                               | 710          | 249      | 355          | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179                               | 710          | 279      | 355          | Request for Continued Examination (RCE)                                    |             |
| 169                               | 900          | 169      | 900          | Request for expedited examination of a design application                  |             |
| Other fee (specify) _____         |              |          |              |  |             |
| *Reduced by Basic Filing Fee Paid |              |          |              |  |             |
| SUBTOTAL (3)                      |              |          |              |  | (\$ ) 40 00 |

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*\*or number previously paid, if greater, For Reissues, see above

|                   |                  |                                 |                |           |                |
|-------------------|------------------|---------------------------------|----------------|-----------|----------------|
| SUBMITTED BY      |                  | Complete (if applicable)        |                |           |                |
| Name (Print/Type) | Roger T. Barrett | Registration No. Attorney/Agent | 41,599         | Telephone | (303) 571-4000 |
| Signature         |                  | Date                            | March 15, 2001 |           |                |

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